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Process Parameters and Modeling Features Affecting Interfacial Heat Transfer Coefficients in the Casting of a Semi-Solid 357 Aluminum Alloy

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ABSTRACT

A study was carried out to identify process parameters and modeling features affecting interfacial heat transfer coefficients in the casting of a semi-solid 357 aluminum alloy. A 2-level screening design was created to evaluate the effect of 5 process parameters on these coefficients. The varied parameters were the following: set die temperature, intensification pressure, plunger velocity, lubricant type and lubricant quantity. The interfacial heat transfer coefficients were calculated with an inverse heat conduction method using as inputs the measured die temperatures obtained from the various casting conditions in the screening design. The effects of these process parameters were quantified considering 2 response variables: the peak value of the coefficients and the time the coefficients decreased to 5 % of their peak value. The intensification pressure was found to be dominant for both response variables. It was also observed that features in the finite element model used to solve the inverse heat conduction problem affected the coefficients. The features investigated in the model were: the thermocouple cavity, its depth, its base angle and the presence of the thermocouple inside the cavity. The depth at which the thermocouple cavity was modeled was found to be the prevailing feature affecting the coefficients.

INTRODUCTION

Numerical modeling is an essential tool to optimize casting processes but the accuracy of the results is largely dependent on the boundary conditions that are specified. An important boundary condition in the modeling of high pressure die casting process is the interfacial heat transfer coefficient (IHTC) located between the casting and the die. This coefficient refers to the thermal conductance whose inverse is the thermal resistance. In high pressure die casting, the greatest thermal resistance in the entire process is at this location¹ and it is thus fundamental to characterize it as accurately as possible. The evolutions of the casting-die contact and the corresponding heat transfer have been described in several studies²⁻¹⁰. Typically, the heat transfer at the casting-die interface is characterized in term of 3 physical mechanisms^{2, 4, 5}: 1) the IHTC reaches a maximum value as the metal contacts the die, a consequence of a conforming contact that provides a large heat transfer, 2) solidification creates a nonconforming contact, impairing the heat transfer at the casting-die interface and decreasing the IHTC and 3) a gap develops between the casting and the die, leading to a poor heat transfer and low values in the IHTCs.

IHTCs have been reported to be sensitive to process parameters^{11, 12} and must be experimentally evaluated. Typically, temperatures measured in the die with thermocouples are used as input data to solve an inverse heat conduction problem and the calculated IHTCs are those reproducing the measured temperatures. This process parameter dependence can partially explain the large differences observed for example in the peak values reported for the various IHTCs listed in Table 1. Caution must thus prevail when simulations are carried out with IHTC values selected elsewhere. In addition, the calculations to solve the inverse heat conduction problem that yield the IHTCs call upon a computer model. Consequently, IHTC values are also affected by assumptions and features in the model.

A series of tests were carried out in this study to evaluate the impact of the main process parameters on the IHCTs in the high pressure die casting of a semi-solid 357 aluminum alloy. Set die temperature, intensification pressure, plunger velocity, lubricant type and lubricant quantity were the varied process parameters. A 2-level screening design was created to evaluate their effects on the evolution of the die temperature and the calculated IHTCs. The study also examined the effect of various features in the finite element (FE) model utilized to solve the inverse heat conduction problem. The FE model was modified to account for the effect of the cavity machined into the die to insert the thermocouple, its depth, its base angle and the presence of the thermocouple inside the cavity.

Process	Alloy	Casting geometry	Intensification pressure (MPa)	Max IHTC (kWm ⁻² K ⁻¹)	References
	Al-12%Si	Box with internal features	90	40-65	11
Commentional	ADC12	Step shape	67	11.4 to 20.8	13, 14
Conventional Dia Casting	A380	Plate with ribs	80	90	15-18
Die Casting	A380	Plate	87.5 and 175	79 to 87	19
	A226	Square box	n/a	20 to 40	20
Semi-Solid	A356	Suspension part	70 to 85	3.5	21
	A356	Cylinder	35	8	22
Processing	A356	Box with cylindrical part	27 to 90	20 to 60	20
	Al-4.5%-Cu	Cylinder	50	4.7	23
Squeeze Casting	A356	Disc	29 and 116	4.5	24
	Pure Al	Cylinder	100	42	8
	Al-Si	Cylinder	197	52.5	25

Table 1. IHTC values for different high pressure die casting processes using aluminum alloys.

EXPERIMENTAL PROCEDURES

CASTING GEOMETRY AND THERMOCOUPLE LOCATION

The castings produced in this study had a geometry having the shape of a wedge. One surface was flat while the other was slightly tilted with a 2° angle. A schematic representation of the casting and its dimensions is shown in Figure 1. The casting thickness varied from 15.8 mm near the gate to 9.1 mm at the tip, over a length of 191.8 mm. The thickness was 11.4 mm near the location where temperatures were measured with a K-type thermocouple (TC) inserted in the mobile platen of the die, 1.6 mm from the interface. That thermocouple was along the longitudinal center of the wedge and 222.1 mm from the center of the 80 mm diameter gate. Tests were also carried out with additional thermocouples (up to 5) with three of them located further from the interface (6 and 16 mm). However, the results with these additional thermocouples were not used in the present work.

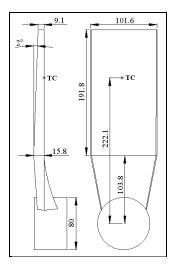


Figure 1. Schematic representation of the wedge-shape casting. Dimensions are in mm.

Figure 2 illustrates the thermocouple location inside the die. The thermocouple (TC) had a sheath diameter of 0.81 mm (wire diameter of 0.13 mm) and an exposed hot junction. It was placed perpendicular to the isotherms, as recommended²⁶⁻²⁸. A

spring was utilized to insure a good contact between the thermocouple hot junction and the cavity bottom. A steel holder was used to secure the thermocouple with a spring and was 5 mm from the thermocouple hot junction. The thermocouple, holder and spring were inserted inside a drilled cavity which had a diameter of 6.9 mm. The angle produced by the drill bit at the cavity base was 135°. The contour line that physically represents the geometry of the main FE model is shown by the dotted line in Figure 2 and is also illustrated in greater details in Figure 3.

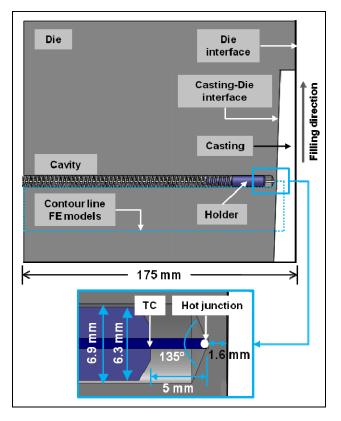


Figure 2. Thermocouple (TC) location inside the die.

FEEDSTOCK PREPARATION

The castings were produced with 357 aluminum alloy and the chemical composition is shown in Table 2. The SEED technology was employed to produce the semi-solid slugs that were injected in the die casting press. The feedstock preparation has been described in details elsewhere²⁹. The slugs had the following characteristics before the injection: 80 mm in diameter, 200 mm in length, a mass of 2.2 kg and a temperature of 591 °C, which corresponded to a solid fraction of approximately 36 %.

Elements	Si	Mg	Ti	Fe	Cu
(%)	7.05	0.60	0.11	0.09	0.01
Elements	Mn	Zn	Ni	Cr	Al
(%)	0.004	0.003	0.002	0.001	Bal.

Table 2. Chemical composition of 357 aluminum alloy used to produce the slugs. Composition in wt%.

CASTING CONDITIONS

A screening design was created to optimize the number of experiments to be realized and also to indentify which of the 5 process parameters investigated had the most significant influence on the IHTCs. This 2-level screening design was produced with the Statgraphics Centurion statistical analysis software³⁰ and consisted of the half fraction (2^{5-1}) of a full factorial design which provided a capability to distinguish all the main effects and their interactions (resolution V). This screening design implied 16 experiments and was repeated 3 times for a total of 48 runs. Process parameters such as the type of lubricant (Lub), the set die temperature on the temperature control units (T_{set}), the second stage plunger velocity during die filling (V_p), the intensification pressure (P) and the quantity of lubricant (Q) were varied for each experiment following the levels shown in Table 3.

Process parameters	Lub*	T _{set} (°C)	V_p (ms ⁻¹)	P (MPa)	Q (layers)	
2 levels	А	200	0.2	40	2	
(48 tests)	В	260	0.6	90	6	
*A: oil-silicon mixture and B: oil emulsion						

Table 3. Levels of the 5 process parameters.

The slugs were injected in a cold chamber high pressure die casting press (Bühler, model SC N/53). The die was made of H13 steel. The arithmetic average roughness, R_a , at the surface of the die was 0.7 µm and measured with a portable meter (Mitutoyo, model SJ-201). Two temperature control units (Regloplas, models 310DG and 315L) using Regloplas 300 oil were utilized to maintain the die and the shot sleeve at the set die temperature (T_{set}). Before each experiment, one of the selected lubricants (Lub), 3 % dilution with water, was sprayed in layers (Q) at the die surface with an automated spraying system (Rimrock, model 414). The two lubricants studied were an oil-silicon mixture and an oil emulsion. The experiments were all carried out when the die was in thermal steady state and this was verified from the thermocouple readings in the die. Once this steady state was achieved, a semi-solid slug was produced, transferred to the shot sleeve and injected in the die. The plunger velocity (V_p) and the intensification pressure (P) were previously set in a program used by the die casting press. During each experiment, the temperatures were recorded with a data acquisition system (Dataq, model DI-8B40) at a frequency of 600 Hz. The cold junction of the thermocouple was inserted in an ice/water mixture.

MODELING AND INVERSE HEAT CONDUCTION PROBLEMS

FE models representing the casting in contact with the die were built to evaluate by inverse calculation the IHTCs at the casting-die interface. The FE software ProCAST³¹ was utilized to create the models and solve the inverse heat conduction problems. Figure 3 shows the main FE model used to determine the IHTCs of this study. This model includes the cavity in which the thermocouple was inserted. Additional FE models were created to study the impact of other modeling features on the IHTCs. These features included the absence of the thermocouple cavity inside the die, the variation of the cavity depth, the modification of the angle at the cavity base and the presence of the thermocouple inside the cavity. The FE models representing these features are presented in the next section.

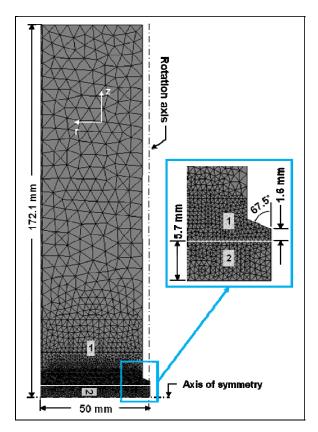


Figure 3. Main FE model used to solve the inverse heat conduction problems (1: die, 2: casting).

FE models were defined as axisymmetric considering the presence of the thermocouple cavity. The rotation axis is shown in Figure 3. An axis of symmetry was applied to the casting whose thickness considered in the FE models was 5.7 mm. A three dimensional (3-D) mesh for which the θ -direction was represented with only one element layer was created. The model assumed that heat transfer took place solely by conduction and the software computed the temperature evolution in the die and the casting by solving the transient heat conduction equation:

$$\rho(T)\frac{\partial H(T)}{\partial T}\frac{\partial T}{\partial t} - \nabla[k(T)\nabla T] = 0$$
 Equation 1

Where,

 $\nabla = \frac{\partial}{\partial r} + \frac{1}{r} \frac{\partial}{\partial \theta} + \frac{\partial}{\partial z}$ Equation 2 And, $H(T) = \int_0^T c_p dT + L[1 - f_s(T)]$ Equation 3

Cylindrical coordinates were utilized since the FE models were defined as axisymmetric. The IHTCs were estimated by applying Newton's law of cooling at the interface between the die and the casting:

$$q(t) = h(t)(T_c^s(t) - T_d^s(t))$$
 Equation 4

The materials properties for the 357 aluminum alloy were determined with a software (Virtual Measurement System³²) using the chemical composition of the alloy given in Table 2. The calculated liquidus and solidus temperatures of this alloy were respectively 614.5 and 557.5 °C. The materials properties for the H13 steel of the die were selected from the ProCAST databank. The initial die temperature used in the FE models was the one provided by the thermocouple inserted in the die near the interface. Die filling was assumed adiabatic and the initial casting temperature considered for all the calculations was the one measured just prior to the injection (591 °C). The boundaries of the FE models were all defined as adiabatic. The thickness of the die considered in the model, the presence of the rotation axis for the die and an axis of symmetry for the casting made this a reasonable assumption. Since the IHTCs were missing in this heat transfer model, they had to be calculated by solving an inverse heat conduction problem. This was accomplished using a Maximum a posteriori (MAP) algorithm from the ProCAST software. The algorithm iterated the IHTCs values until an agreement was found between the computed and measured temperatures according to a least squares error minimization. Thus, the estimated coefficients were those that best reproduced the experimentally measured temperatures. Greater details on the theoretical background of the MAP algorithm are given elsewhere³³. To realize the first iteration, IHTC start values were provided for specified times. In addition, it was assumed that the IHTCs had the same evolution at each node of the interface. This implied, among other things, that the deformation between the casting and the die was uniform during solidification and that the air gap would possess the same physical properties along the interface. The last steps prior to performing the inverse calculation were to select the node in the FE model that corresponded to the location of the temperature measurements and to provide the software a file containing the experimentally measured temperatures. As mentioned earlier, the experimental design was repeated 3 times. In order to reduce the number of inverse calculations and the amount of computational time, only the average temperature evolution of the 3 repetitions was used.

RESULTS AND DISCUSSION

EFFECT OF PROCESS PARAMETERS ON IHTC

To indentify which of the 5 process parameters investigated had the most significant influence on the IHTCs, 2 response variables were analyzed: one being the peak value of the IHTCs (h_{peak}) and the other the time at which their values reached a quasi steady state. The latter was arbitrarily defined as the time when the coefficient decreased to 5 % of its peak value ($t_{5\%}$) and was used to discriminate between the highly transient regime that preceded the quasi steady state. Table 4 provides the details of the experimental design as well as the results for the 2 analyzed response variables. The peak values vary in a range between 9800 and 15500 Wm⁻²K⁻¹. This is in fair agreement with the value of 8000 Wm⁻²K⁻¹ reported in the thixocasting of an A356 alloy²². Table 4 also shows that the quasi steady state was produced after a time period from 9.4 to 13.1 s. Heat transfer into the die becomes relatively sluggish once this time is reached.

		Proce	Response	ariables			
Run no.	Lub*	\mathbf{T}_{set} (°C)	$\mathbf{V}_{\mathbf{p}}$ (ms ⁻¹)	P (MPa)	Q (layers)	$\mathbf{h}_{\mathbf{peak}}$ $(\mathrm{Wm}^{-2}\mathrm{K}^{-1})$	t _{5%} (S)
1	Α	200	0.2	90	2	11667.9	11.4
2	Α	200	0.2	40	6	9895.9	13.0
3	Α	200	0.6	40	2	12694.5	11.4
4	Α	200	0.6	90	6	15516.8	9.7
5	Α	260	0.2	40	2	13742.6	9.7
6	Α	260	0.2	90	6	14613.2	9.4
7	Α	260	0.6	90	2	14795.2	9.4
8	Α	260	0.6	40	6	12868.4	11.2
9	В	200	0.2	40	2	12088.7	10.4
10	В	200	0.2	90	6	11690.9	11.0
11	В	200	0.6	90	2	14199.4	10.2
12	В	200	0.6	40	6	9817.0	13.1
13	В	260	0.2	90	2	13193.8	10.6
14	В	260	0.2	40	6	10045.8	12.2
15	В	260	0.6	40	2	12744.9	10.9
16	В	260	0.6	90	6	13985.9	10.0
*A: oil-silicon mixture and B: oil emulsion							

Table 4. Details of the 2-level screening design (2^{5-1}) and results for the response variables h_{peak} and $t_{5\%}$.

These response variables were provided by the IHTC curve, of which an example (taken from run no. 10 presented in Table 4) is given in Figure 4. The average measured temperature evolution, from which the IHTC curve was calculated, is also illustrated in the same figure. As the metal was injected, the die temperature rapidly increased to reach a maximum of 380 °C after approximately 2.5 s and slowly decreased thereafter. The IHTC values rose abruptly to a peak of 11690 Wm⁻²K⁻¹ and this was followed by a substantial decrease as solidification proceeded.

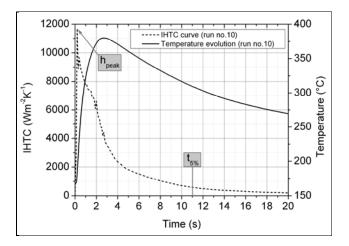


Figure 4. Temperature evolution (average of 3 trials) obtained from the thermocouple located in the die (1.6 mm from the casting-die interface) and corresponding IHTC curve with the peak value (h_{peak}) and the selected time ($t_{5\%}$) for the quasi steady state.

Statistical analysis

Table 5 illustrates the analysis of variance (ANOVA) using the h_{peak} values as the response variable for the screening design. The letters A to E are associated to the 5 process parameters presented in the screening design (A: Lub, B: T_{set}, C: V_p, D: P and E: Q). The quantities in the columns are standard and further discussed, for example in reference³⁴. Also shown in the table are percent contributions that represent the ratio of the variability of the source (listed in the first column) to the total variability of the experimental results. It is seen that all the main parameters significantly affect the h_{peak} values and that many of their interactions also intervene. Some interactions were excluded from the analysis since their contributions were

considered too small (less than 0.5 %). The table shows that the most significant parameter is the intensification pressure, D, with a contribution close to 33 %. The interaction between pressure and lubricant quantity, denoted by D x E in the table, also contributes for approximately 15 % of the variability followed by plunger velocity at 12 %. An adjusted coefficient of regression (R_{adj}^2) of 94.7 % is obtained and represents the percentage of the variability in the response explained by the selected process parameters and interactions, after adjustments to account for the degrees of freedom (DOF). The effect of pressure on the results is different from that of Hamasaiid and al.^{18, 35} who reported no such effect on their IHTC peak values when casting liquid A380 aluminum between 70 and 95 MPa.

Source of Variation	DOF	Sum of squares	Variance	F-Ratio	P-Value	Percent contribution
A:Lub	1	4028140	4028140	24.23	0.0044	8.2
B:T _{set}	1	4429680	4429680	26.65	0.0036	9.1
C:V _p	1	5860290	5860290	35.25	0.0019	12.1
D:P	1	15533900	15533900	93.45	0.0002	32.8
E:Q	1	2799880	2799880	16.84	0.0093	5.6
A x B	1	1035260	1035260	6.23	0.0548	1.9
A x E	1	2790170	2790170	16.78	0.0094	5.6
B x C	1	1043020	1043020	6.27	0.0542	1.9
C x D	1	1549840	1549840	9.32	0.0283	2.9
D x E	1	7014790	7014790	42.2	0.0013	14.6
All other/error	5	831160	166232			5.3
Total	15	46916100				100.0
R ²	$R^2 = 98.2 \%$				94.7 %	

Table 5. ANOVA of the screening design with h_{peak} as the response variable.

A similar analysis was performed for the $t_{5\%}$ values and the results are shown in Table 6. The results show that pressure, D, and its interaction with lubricant quantity, D x E, have again the predominant contributions. The plunger velocity is now negligible but the set die temperature replaces it with a contribution of 14 %.

Table 6. ANOVA of the screening design with $t_{5\%}$ as the response variable.

Source of Variation	DOF	Sum of squares	Variance	F-Ratio	P-Value	Percent contribution
A:Lub	1	0.636006	0.636006	6.52	0.0433	2.6
B:T _{set}	1	2.98426	2.98426	30.6	0.0015	14.0
C:Vp	1	0.232806	0.232806	2.39	0.1733	0.7
D:P	1	6.46431	6.46431	66.28	0.0002	30.9
E:Q	1	1.95301	1.95301	20.02	0.0042	9.0
A x B	1	1.50676	1.50676	15.45	0.0077	6.8
A x E	1	0.551306	0.551306	5.65	0.055	2.2
C x D	1	1.09726	1.09726	11.25	0.0153	4.8
D x E	1	4.61176	4.61176	47.28	0.0005	21.9
All other/error	6	0.585188	0.097531			7.1
Total	15	20.6226				100.0
R ²	$R^2 = 97.2 \%$				92.9 %	

EFFECT OF MODELING FEATURES ON IHTC

To complete the study, the FE model presented in Figure 3 was modified to observe the effect of some modeling features on the IHTCs. The calculations were all performed using experimental run no. 10 presented in Table 4.

Effect of the thermocouple cavity

The effect of a thermocouple cavity on the distortion of the thermal fields and the IHTCs calculated by inverse methods has been reported for various processes³⁶⁻⁴³. In addition, criteria have been devised to determine whether or not the thermocouple cavity should be accounted in the FE model^{38, 40, 41}. In spite of this, the quantitative effect of the thermocouple cavity on the calculated IHTCs has received little attention in solidification processing. A straightforward method of quantifying this effect is by comparing the results obtained when the cavity is accounted in the FE model (as in Figure 3) and when it is not. The resulting IHTCs with these two models are shown in Figure 5 and it is seen that the impact of ignoring the cavity has a significant effect. The cavity acts as an insulator and disrupts the thermal field in the die producing a *hot spot* at its base. The temperatures measured at this location will thus yield the biased IHTC curve seen in Figure 5 if this is not accounted in the geometry of the FE model.

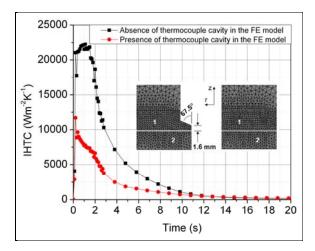


Figure 5. Effect of thermocouple cavity on the calculated IHTCs (1: die, 2: casting).

Effect of the cavity depth

The cavity depth is also an effect that has been discussed in the literature^{37, 41, 43} but has not received widespread attention in solidification processing. Since the thermocouple hot junction is at the cavity base, a measurement error of the cavity depth can have repercussions on the calculated IHTCs. The effect is illustrated in Figure 6 where the IHTCs were calculated with 3 identical FE models except for the depths of the cavities. In the first calculation, the depth is located at 1.6 mm from the interface as shown in Figure 2 and Figure 3. This value represented the experimentally measured depth of the thermocouple cavity. The second and third calculations were performed assuming measurement errors of 0.1 and 1 mm respectively. Thus, the base of the cavity was located respectively at 1.7 and 2.6 mm from the interface.

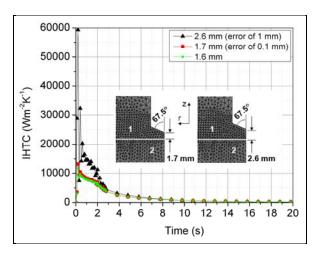


Figure 6. Effect of the cavity depth on the calculated IHTCs (1: die, 2: casting).

The results show that the effect is mainly in the IHTC peak value and that it is quite significant with an error of 1 mm with the peak value being increased up to 5 times. Dies used in high pressure die casting are usually massive and 1 mm can represent a small percentage error of the total thickness.

Effect of angle at the cavity base

Machining the thermocouple cavity with a drill bit normally produces a slope at its base. For example, in this study, a slope with an angle of 135° was produced and this is illustrated in Figure 2. It should be noted that due to symmetry, only half of the cavity is modeled and hence the angles correspond to half of the total (see Figure 3). Since the hot junction of the thermocouple is located at the cavity base, an incorrect representation of the slope in the FE model may influence the calculated IHTCs. Three base cavity angles were evaluated (45° , 67.5° and 90°) and the calculated IHTCs are illustrated in Figure 7. The results clearly show that due account must be given to this feature.

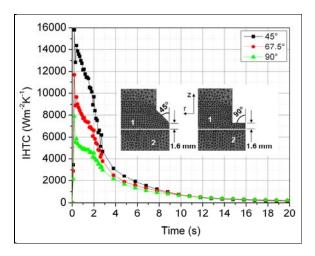


Figure 7. Effect of the base cavity angle on the calculated IHTCs (1: die, 2: casting).

Effect of the thermocouple inside the cavity

Figure 8 illustrates the effect of adding the thermocouple inside the cavity of the FE model. A perfect thermal contact between the thermocouple and the cavity base is assumed and no attempt is made to model the shape of the hot junction. Also, it is assumed that the entire thermocouple is made of stainless steel (SS304). The effect of the thermocouple is therefore exaggerated and further work will be required to model the contact between the thermocouple and the cavity base more accurately. It however appears that the IHTC curve is not significantly changed by the presence of the thermocouple in the cavity of the FE model.

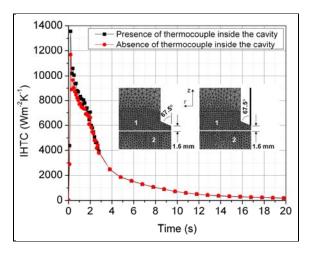


Figure 8. Effect of adding the thermocouple inside the cavity. The effect is seen to be small in spite that it should be maximized by the assumptions in the model (1: die, 2: casting).

CONCLUSION

The main process parameters found to affect IHTCs peak values and time for quasi steady state in high pressure die casting of a semi-solid 357 aluminum alloy were identified using a screening design. Intensification pressure and its interaction with the quantity of lubricant were found to be the dominant source in the variation of these 2 response variables. The peak IHTC values varied between 9800 and 15500 Wm⁻²K⁻¹ while the time for quasi steady state varied between 9.4 and 13.1 s. Features in the FE model utilized to calculate the IHTCs were also found to have a significant effect on their peak values but less so on the time for quasi steady state. The results showed that errors in the thermocouple cavity depth could severely increase the peak values. Other features were also found to affect the IHTCs and were, in decreasing order of importance: the cavity, its base angle and the presence of the thermocouple inside the cavity. The study brings out the importance to have a FE model that represents the physical system as accurately as possible.

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NOMENCLATURE

c _p	Specific h	eat, Jkg ⁻¹ K ⁻¹

- f_s Solid fraction
- h, IHTC Interfacial heat transfer coefficient, Wm⁻²K⁻¹
- H Enthalpy, J kg⁻¹
- k Thermal conductivity, Wm⁻¹K⁻¹
- L Latent heat, J kg⁻¹
- q Heat flux, Wm^{-2}
- r, θ , z Cylindrical coordinates, m
- t Time, s
- T Temperature, °C
- T_c^s Casting surface temperature, °C
- T_d^s Die surface temperature, °C
- ρ Density, kg m⁻³

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